

Information Distribution Requirements		Target	6 - 18	No Kids
Level 1b	1-Renovation in Dwelling Unit: Failure to provide the owner of the unit with the EPA-approved lead hazard information pamphlet 745.84(a)(1)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	2-Renovation in Dwelling Unit: Failure to provide the adult occupant of the unit (if not the owner) with the EPA-approved lead hazard information pamphlet 745.84(a)(2)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	3-Renovation in Common Area: Failure to provide the owner of the multi-family housing with the EPA-approved lead hazard information/pamphlet or to post informational signs 745.84(b)(1)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	4-Renovation in Common Area: Failure to notify in writing, or ensure written notification of, each unit of the multi-family housing and make the pamphlet available upon request prior to the start of the renovation, or to post informational signs 745.84(b)(2)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	5-Renovation in Child-Occupied Facility: Failure to provide the owner of the building in which the child-occupied facility is located with the EPA-approved lead hazard information pamphlet 745.84(c)(1)(i)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	6-Renovation in Child-Occupied Facility: Failure to provide an adult representative of the child-occupied facility with the pamphlet, if the owner is not the operator of the child-occupied facility 745.84(c)(1)(ii)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	7-Renovation in Child-Occupied Facility: Failure to provide the parents and/or guardians of children using the child-occupied facility with the pamphlet and information describing the general nature and locations of the renovation and the anticipated completion date, by mailing or hand-delivering the pamphlet and renovation information, or by posting informational signs describing the general nature and locations of the renovation and the anticipated completion date, posted in areas where they can be seen by parents or guardians of the children frequenting the child-occupied facility, and accompanied by a posted copy of the pamphlet or information on how interested parents or guardians can review a copy of the pamphlet or obtain a copy from the renovation firm at no cost to the parents or guardians, 745.84(c)(2)	\$ 16,000	\$ 8,500	\$ 2,840
Level 1b	8-All Renovations: Failure of firms to post signs clearly defining the work area and warning occupants and other persons not involved in renovation activities to remain outside of the work area; to prepare, to the extent practicable, signs in the primary language of the occupants; and/or to post signs before beginning the renovation and make sure they remain in place and readable until the renovation and the post-renovation cleaning verification have been completed, 745.85 (1).	\$ 16,000	\$ 8,500	\$ 2,840
Test Kits		Target	6 - 18	No Kids
Level 1a	1-All Renovations: Failure to use an EPA approved dust test kit when determining the presence of lead, 745.88 where the test kit result provided a false negative result for lead (i.e., no lead)	\$ 37,500	\$ 25,500	\$ 7,500
Level 5a	2-All Renovations: Failure to use an EPA approved dust test kit when determining the presence of lead, 745.88 where the test kit provided an accurate result for the presence of lead	\$ 7,500	\$ 5,100	\$ 1,500

Failure to Allow Access to Records, or Refusal of An Inspection		Target	6 - 18	No Kids
Level 2a	1-All Renovations: Failure or refusal to permit entry or inspection, 745.87(c), which states that such failure or refusal to permit entry or inspection is also a violation of TSCA15 and TSCA409	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	2-Target Housing and Child-occupied Facilities: Failure or refusal to permit entry or inspection, 745.235(c), as required by 745.237 and section 11 of TSCA (15 U.S.C. 2610) is a prohibited act under sections 15 and 409 of TSCA (15 U.S.C. 2614, 2689)	\$ 30,000	\$ 20,400	\$ 6,000
Failure to Establish, Maintain or Refusal to Make Records Available		Target	6 - 18	No Kids
Level 3a	1-All Renovations: Failure or refusal to establish and maintain records, or to make available such records, 745.87(b), which states that such failure or refusal is a violation of TSCA§409	\$ 22,500	\$ 15,300	\$ 4,500
Level 3a	2-Target Housing and Child-occupied Facilities: Failure or refusal to establish maintain, provide, copy, or permit access to records or reports, 745.225, 745.226, and/or 745.227	\$ 22,500	\$ 15,300	\$ 4,500
Acknowledgment and Certification Statement Requirements		Target	6 - 18	No Kids
Level 4b	1-Renovation in Dwelling Unit: Failure to obtain, from the owner, a written acknowledgment that the owner has received the pamphlet, 745.84(a)(1)(i) or failure to obtain a certificate of mailing at least 7 days prior to the renovation, 745.84(a)(1)	\$ 5,670	\$ 3,540	\$ 580
Level 4b	2-Renovation in Dwelling Unit: Failure to obtain, from the adult occupant, a written acknowledgment that the adult occupant has received the pamphlet, 745.84(a)(2)(i) or failure to obtain a certificate of mailing at least 7 days prior to the renovation, 745.84(a)(2)	\$ 5,670	\$ 3,540	\$ 580
Level 4b	3-Renovation in Common Area: Failure to obtain, from the owner, a written acknowledgment that the owner has received the pamphlet, or that information signs have been posted, 745.84(b)(1)(i) or failure to obtain a certificate of mailing at least 7 days prior to the renovation, 745.84(b)(1)	\$ 5,670	\$ 3,540	\$ 580
Level 4b	4-Renovation in Common Area: Failure to prepare, sign, and date a statement describing the steps performed to notify all occupants of the intended renovation activities and to provide the pamphlet, 745.84(b)(3)	\$ 5,670	\$ 3,540	\$ 580
Level 5b	5-Renovation in Common Area: Failure to notify, in writing, the owners and occupants if the scope, locations or expected starting and ending dates of the planned renovation activities change after the initial notification, before the renovator initiates work beyond that which was described in the original notice, 745.84(b)(4)	\$ 2,840	\$ 1,850	\$ 290
Level 4b	6-Renovation in Child-Occupied Facility: Failure to obtain, from the owner of the building, a written acknowledgment that the owner has received the pamphlet, or failure to obtain a certificate of mailing at least 7 days prior to the renovation, 745.84(c)(1)(i)	\$ 5,670	\$ 3,540	\$ 580
Level 4b	7-Renovation in Child-Occupied Facility: Failure to obtain from an adult representative of the child-occupied facility, if the operator of the child-occupied facility is not the owner of the building, a written acknowledgment that the operator has received the pamphlet, or failure to obtain a certificate of mailing at least 7 days prior to the renovation, 745.84(c)(1)(ii)	\$ 5,670	\$ 3,540	\$ 580

Acknowledgment and Certification Statement Requirements		Target	6 - 18	No Kids
Level 4b	8-Renovation in Child-Occupied Facility: Failure to prepare, sign and date a statement describing the steps performed to notify all parents and guardians of the intended renovation activities and to provide the pamphlet 745.84(c)(3)	\$ 5,670	\$ 3,540	\$ 580
Level 5b	9-All Renovations: Failure to include a statement recording the owner or occupant's name and acknowledging receipt of the pamphlet prior to the start of the renovation, the address of the unit undergoing renovation, the signature of the owner or occupant as applicable, and the date of signature, 745.84(d)(1)	\$ 2,840	\$ 1,850	\$ 290
Level 5b	10-All Renovations: Failure to provide the written acknowledgment of receipt on either a separate sheet or as part of any written contract or service agreement for the renovation, and be written in the same language as the text of the contract or agreement or lease or pamphlet, 745.84(d)(2) and (3)	\$ 2,840	\$ 1,850	\$ 290
Record Retention Requirements		Target	6 - 18	No Kids
Level 6a	1-All Renovations: Failure to retain all records necessary to demonstrate compliance with the residential property renovation for a period of 3 years following completion of the renovation activities 745.86	\$ 3,000	\$ 2,040	\$ 600
Level 6a	2-All Renovations: Failure of a training program to maintain and make available to EPA upon request, records for a period of 3 years and 6 months, 745.225 (i)	\$ 3,000	\$ 2,040	\$ 600
Level 6a	3-Target Housing and Child-occupied Facilities: Failure or refusal to establish, maintain, provide, copy, or permit access to records or reports as required by 745.225, 745.226, or 745.227, 745.235 (b)	\$ 3,000	\$ 2,040	\$ 600
Renovation Firm and Individual Renovator Certification Requirements		Target	6 - 18	No Kids
Level 3a	1-All Renovations: Failure of a firm that performs, offers or claims to perform renovations or dust sampling for compensation to obtain initial certification from EPA, under 745.89(a) 40 CFR 745.81(a)(2)(ii)	\$ 22,500	\$ 15,300	\$ 4,500
Level 5a	2-All Renovations: Failure of an EPA-certified firm to stop renovations or dust sampling if it does not obtain recertification under 40 CFR 745.89(a), 745.89(b)(1)(iii)	\$ 7,500	\$ 5,100	\$ 1,500
Level 5a	3-All Renovations: Failure of an EPA-certified firm to amend its certification within 90 days of the date a change occurs to information included in the firm's most recent applications, 745.89(b). Failure of a firm to halt renovations or dust sampling until its certification is amended, 745.89(c)	\$ 7,500	\$ 5,100	\$ 1,500
Level 1a	4-All Renovations: Failure of a firm to carry out all their responsibilities during a renovation, under 745.89(d), 745.81(a)(2)	\$ 37,500	\$ 25,500	\$ 7,500
Level 3a	5-All Renovations: Failure of a renovator or dust sampling technician, performing renovator or dust sampling responsibilities under 745.90(b) or (c) to obtain a course completion certificate (proof of certification) under 40 CFR 745.90(a) , 745.81(a)(3)	\$ 22,500	\$ 15,300	\$ 4,500
Level 4a	5-All Renovations: Failure of a renovator or dust sampling technician, performing renovator or dust sampling responsibilities under 745.90(b) or (c) to maintain copies of their course completion certificate(s) (proof of certification) at the work site 40 CFR 745.90(b)(7)	\$ 15,000	\$ 10,200	\$ 3,000

Renovation Firm and Individual Renovator Certification Requirements		Target	6 - 18	No Kids
Level 1a	6-All Renovations: Failure of an individual to perform responsibilities for ensuring compliance with 745.85 at all renovations to which they are assigned, 745.90(b) or (c)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	7-All Renovations: Failure of a dust sampling technician to perform optional dust clearance sampling under 745.85(c), 745.90(c)	\$ 37,500	\$ 25,500	\$ 7,500
Level 5a	8-Target Housing and Child-occupied Facilities: Failure of an EPA-certified individual to stop directing renovations if he or she does not obtain recertification under 40 CFR 745.90(a)(4), 745.81(a)(3)	\$ 7,500	\$ 5,100	\$ 1,500
Level 5a	9-Target Housing and Child-occupied Facilities: Failure of an EPA-certified individual to stop renovations or dust sampling if he or she does not obtain recertification under 40 CFR 745.90(a)(4), 745.81(a)(4)	\$ 7,500	\$ 5,100	\$ 1,500
Work Practice Standards for Residential Property Renovation		Target	6 - 18	No Kids
Level 2a	1-Interior Renovations: Failure by the renovation firm to remove all objects from the work area, including furniture, rugs, and window coverings, or cover them with plastic sheeting or other impermeable material with all seams and edges taped or otherwise sealed, 745.85(a)(2)(i)(A)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	2-Interior Renovations: Failure by the renovation firm, before beginning the renovation, to close and cover all ducts opening in the work area with taped-down plastic sheeting or other impermeable material, 745.85(a)(2)(i)(B)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	3-Interior Renovations: Failure by the renovation firm to close windows and doors in the work area, cover doors with plastic sheeting or other impermeable material, and/or cover doors used as an entrance to the work with plastic sheeting or other impermeable material in a manner that allows workers to pass through while confining dust and debris to the work area, 745.85(a)(2)(i)(C)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	4-Interior Renovations: Failure by the renovation firm, before beginning the renovation, to cover the floor surface, including installed carpet, with taped-down plastic sheeting or other impermeable material in the work area 6 feet beyond the perimeter of surfaces undergoing renovation or a sufficient distance to contain the dust, whichever is greater, 745.85(a)(2)(i)(D)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	5-Interior Renovations: Failure by the renovation firm to use precautions to ensure that all personnel, tools, and other items, including the exteriors of containers of waste, are free of dust and debris before leaving the work area, 745.85(a)(2)(i)(E)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	6-Exterior Renovations: Failure by the renovation firm, before beginning the renovation, to close all doors and windows within 20 feet of the renovation, close all doors and windows within 20 feet of the renovation on the same floor as the renovation on multi-story buildings, and/or close all doors and windows on all floors below that are the same horizontal distance from the renovation, 745.85(a)(2)(ii)(A)	\$ 30,000	\$ 20,400	\$ 6,000

Work Practice Standards for Residential Property Renovation		Target	6 - 18	No Kids
Level 2a	7-Exterior Renovations: Failure by the renovation firm, before beginning the renovation, to ensure that doors within the work area that will be used while the job is being performed are covered with plastic sheeting or other impermeable material in a manner that allows workers to pass through while confining dust and debris to the work area, 745.85(a)(2)(ii)(B)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	8-Exterior Renovations: Failure by the renovation firm, before beginning the renovation, to cover the ground with plastic sheeting or other disposable impermeable material extending 10 feet beyond the perimeter of surfaces undergoing renovation or a sufficient distance to collect falling paint debris, whichever is greater, unless the property line prevents 10 feet of such ground covering, 745.85(a)(2)(ii)(C)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	9-Exterior Renovations: Failure by the renovation firm, before beginning the renovations in certain situations, to take extra precautions in containing the work area to ensure that dust and debris from the renovation does not contaminate other buildings or other areas of the property or migrate to adjacent properties, 745.85(a)(2)(ii)(D)	\$ 30,000	\$ 20,400	\$ 6,000
Level 1a	10-Prohibited and restricted practices: Failure to prohibit the use of open-flame burning or torching of lead-based paint during renovations, 745.85(a)(3)(i)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	11-Prohibited and restricted practices: Failure to prohibit the use of machines that remove lead-based paint through high speed operation such as sanding, grinding, power planing, needle gun, abrasive blasting, or sandblasting, unless such machines are used with HEPA exhaust control, 745.85(a)(3)(ii)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	12-Prohibited and restricted practices: Failure to restrict the operating of a heat gun on lead-based paint to temperatures below 1100 degrees Fahrenheit, 745.85(a)(3)(iii)	\$ 37,500	\$ 25,500	\$ 7,500
Level 2a	13-Waste from renovations: Failure at the conclusion of each work day and/or at the conclusion of the renovation, to ensure that waste that has been collected from renovation activities was stored under containment, in an enclosure, or behind a barrier that prevents release of dust and debris out of the work area and prevents access to dust and debris, 745.85(a)(4)(ii)	\$ 30,000	\$ 20,400	\$ 6,000
Level 2a	14-Waste from renovations: Failure by the renovation firm to contain the waste to prevent release of dust and debris during the transport of waste from renovation activities, 745.85(a)(4)(iii)	\$ 30,000	\$ 20,400	\$ 6,000
Level 1a	15-Cleaning the work area: Failure by the renovation firm to clean the work area until no dust, debris or residue remains after the renovation has been completed, 745.85(a)(5)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	16-Cleaning the work area: Failure by the renovation firm to collect all paint chips and debris and seal the material in a heavy-duty bag without dispersing any of it, 745.85(a)(5)(i)(A)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	17-Cleaning the work area: Failure by the renovation firm to remove the protective sheeting by misting the sheeting before folding it, folding the dirty side inward, and/or either taping shut to seal or sealing it in heavy-duty bags, 745.85(a)(5)(i)(B)	\$ 37,500	\$ 25,500	\$ 7,500

Work Practice Standards for Residential Property Renovation		Target	6 - 18	No Kids
Level 1a	18-Cleaning the work area: Failure by the renovation firm to keep in place the plastic sheeting used to isolate contaminated rooms from non-contaminated rooms until after the cleaning and removal of other sheeting, 745.85(a)(5)(i)(B)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	19-Cleaning the work area: Failure by the renovation firm to dispose of the plastic sheeting, used as occupant protection at the renovation site, as waste, 745.85(a)(5)(i)(B).	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	20-Cleaning the work area: Failure by the renovation firm to clean all objects and surfaces in the work area and within 2 feet of the work area, cleaning from higher to lower, 745.85(a)(5)(ii)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	21-Cleaning the work area: Failure by the renovation firm to clean walls in the work area, starting at the ceiling and working down to the floor, by either vacuuming with a HEPA vacuum or wiping with a damp cloth, 745.85(a)(5)(ii)(A)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	22-Cleaning the work area: Failure by the renovation firm to thoroughly vacuum all remaining surfaces and objects in the work area, including furniture and fixtures, with a HEPA vacuum and/or failure to use a HEPA vacuum equipped with a beater bar when vacuuming carpets and rugs, 745.85(a)(5)(ii)(B).	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	23-Cleaning the work area: Failure by the renovation firm to wipe all remaining surfaces and objects in the work area, except for carpeted or upholstered surfaces, with a damp cloth and/or failure to mop uncarpeted floors thoroughly, using a mopping method that keeps the wash water separate from the rinse water, such as the 2-bucket mopping method, or using a wet mopping system, 745.85(a)(5)(ii)(C)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	24-Standards for post-renovation cleaning verification: Failure by a renovator to perform a visual inspection of the interior work area to determine whether dust, debris or residue is still present, to remove dust, debris or residue by re-cleaning if necessary, and/or perform another visual inspection, 745.85(b)(1)(i)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	25-Standards for post-renovation cleaning verification: Failure by a renovator to verify that each interior windowsill in the work area has been adequately cleaned using a disposable cleaning cloth(s) compared to the cleaning verification card following the prescribed procedures, 745.85 (b)(1)(ii) (A) or failure by a certified renovator to arrange for the collection dust clearance samples as part of optional dust clearance testing, 745.85(b)(1)(ii)(A)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	26-Standards for post-renovation cleaning verification: Failure by a renovator to verify that each interior floor in the work area has been adequately cleaned using a disposable cleaning cloth(s) compared to the cleaning verification card following the prescribed procedures 745.85 (b)(1)(ii) (B) or failure by a certified renovator to arrange for the collection dust clearance samples as part of optional dust clearance testing, 745.85(b)(1)(ii)(B)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	27-Standards for post-renovation cleaning verification: Failure by a renovator to wait until interior work area passes post-renovation cleaning verification before removing signs, 745.85(b)(1)(iii)	\$ 37,500	\$ 25,500	\$ 7,500

Work Practice Standards for Residential Property Renovation		Target	6 - 18	No Kids
Level 1a	28-Standards for post-renovation cleaning verification: Failure by a renovator to perform a visual inspection of the exterior work area to determine whether dust, debris or residue is still present, to remove dust, debris or residue by re-cleaning if necessary, and/or perform another visual inspection, 745.85(b)(2)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	29-Standards for post-renovation cleaning verification: Failure by a renovator to wait until exterior work area passes visual inspection before removing signs, 745.85(b)(2)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	30-Standards for post-renovation cleaning verification: Failure by a renovation firm to arrange for the performance of optional dust clearance testing at the conclusion of the renovation if required to do so by the person contracting for the renovation, a Federal, State, Territorial, Tribal, or local law or regulation, 745.85(c)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	31-Standards for post-renovation cleaning verification: Failure to have the optional dust clearance testing performed by a certified inspector, risk assessor or dust sampling technician at the conclusion of the renovation, 745.85(c)(2)	\$ 37,500	\$ 25,500	\$ 7,500
Level 1a	32-Standards for post-renovation cleaning verification: Failure by a renovation firm to re-clean the work area until dust clearance results are below clearance standards, 745.85(c)(3)	\$ 37,500	\$ 25,500	\$ 7,500